# ISDP Submission Form

# ISDP Evaluation

## SUMMARY

Below is an editable form for you to provide your ISDP responses.

Note – The progress of the application is dependent on the applicant presenting the required evidence and obtaining the relevant certifications, where applicable. If during this assessment the Code Manager finds issues against specific requirements this will be communicated to the applicant as “findings” or “observations”. The applicant is then required to propose and carry out corrective actions to remediate these, prior to qualification or at a set due date after qualification.

| ISDP Ref | Question | Applicant Response | Evidence filename(s) (where applicable) |
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| 1.1 | Please specify the architecture of your in-scope systems. | | |

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| 1.2 | Are you making use of any subcontractors, third parties or service providers to provide the operation of the service or develop and manage any of the technical solution? | | |
| 1.2.1 | Please provide a list of all subcontractors, third parties or service providers, including what activity they are performing. | | |
| 1.3 | How will you be accessing the data for the REC Service you have requested? | | |

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| 1.4 | How do you ensure that you have identified and appropriately assessed all information security and data protection risks relating to your business operations? | | |
| 1.5 | What information security accreditation do you hold to mitigate the applicable risks to your organisation? | | |
| 1.6 | How do you ensure that you have appropriate risk, security and control arrangements in place that are reviewed on a regular basis? | | |

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| 1.7 | How do you ensure there is appropriate governance, oversight and right tone from the top in relation to Information Security? | | |
| 1.8 | How has your business taken steps to ensure appropriate information security and control procedures are in place? | | |
| 1.9 | How has your business taken steps to ensure appropriate physical security and environmental control procedures are in place? | | |

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| 1.10 | How has your business taken steps to ensure appropriate user access security and control procedures have been developed with respect to your service to guard against unauthorised logical access to data and programs? | | |
| 1.10.1 | How does your business keep track of all access attempts and activities within the system? | | |
| 1.11 | How has your business taken steps to ensure that credentials used to access services are held in a secure and confidential manner, and any relevant secret key material is secured throughout its lifecycle. | | |

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| 1.12 | What steps has your business taken in relation to human resource security, such as appropriate screening and relevant training? | | |
| 1.13 | How does your business ensure that any unauthorised activity within your relevant systems is monitored and if detected is appropriately prevented and/or rectified? | | |
| 1.14 | How does your business monitor and identify any vulnerabilities on your relevant systems and, if identified, what steps are taken to mitigate or remediate the vulnerabilities? | | |

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| 1.14.1 | What is your process for notifying the Code Manager and the Switching Operator of any identified material vulnerability? | | |
| 1.15 | What processes does your business have in place in relation to incident management? | | |
| 1.16 | How do you ensure your data is held in a secure manner, retained for only the necessary time required and deleted appropriately? | | |

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| 1.17 | How do you ensure data is only accessed for the purposes for which it is required? | | |
| 1.18 | Please provide a completed up-todate and relevant ICO checklist. | | |
| 1.19 | Please provide your Data Protection Registration number and registration | | |

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| 1.20 | Have you reported any data breaches to the ICO in the last year and what action did you take to remedy these? | | |

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| Full Name | |
| Job Title | |
| Company Name | |

RETAIL
ENERGY
CODE