

RELEASE PLAN

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| DOCUMENT VERSION | 1.0 |
| REC RELEASE DATE | 03/08/2022 |
| MAJOR/MINOR/PATCH RELEASE | MINOR |
| NEW REC VERSION | 3.1.0 |

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|----------------------------------|---|
| CHANGE PROPOSALS IN SCOPE | R0046a – GOVERNANCE OF DATA UNDER THE REC (ALTERNATIVE) |
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1 SCOPE

1.1 SCOPE OF THE RELEASE

There is one change in scope of the August 2022 REC Release. At the time this Release Plan was published the Change Proposal was not authorised for implementation.

The Authority will make a decision on whether to approve implementation on 02 August 2022. Below will outline what is in scope of the Release, the detail will be communicated in the later sections of this document.

Should a decision be made not to approve R0046a on 02 August 2022, a communication will be published from the Code Manager to advise.

R0046a

- Main Body
- Schedule 1 - Interpretations
- Schedule 9 – Maintenance & Qualification

1.2 DELIVERABLES IN SCOPE

To support the implementation of these changes the deliverables in scope of this Release Plan are:

- Communication & Engagement Plan;
- REC Version 3.1.0 Pre-Release publication;
- Data breach reporting process; and
- REC Version 3.1.0 Release publication.

1.3 OUT OF SCOPE

The following is not in scope of this Release Plan:

The ongoing management of the data breach process that will be implemented by this release.

1.4 DELIVERABLES OUT OF SCOPE

The following deliverables have been considered during the Planning phase and it has been determined there are no impacts that require these deliverables to be in scope of the Release:

- REC Portal technical changes;
- EMAR technical changes;
- Updates to User Guides;

2 IMPACTED REC PRODUCTS

R0046A – GOVERNANCE OF DATA UNDER THE REC (ALTERNATIVE)

| ARTIFACT | Version | DESCRIPTION | IMPACT |
|--|---------|---|---------|
| REC Schedules | | | |
| Main Body | 3.1 | Clauses 19 and 20 legal text will be updated to clarify the obligations on REC Parties in their capacity as a Data Controller or Data Processor | AMENDED |
| Schedule 1 – Interpretations | 3.1 | The following definitions will be added: Data Protection Legislation REC Controller REC Data REC Processor Personal Data Breach | AMENDED |
| Schedule 9 – Maintenance and Qualification | 3.1 | The document has been amended to reflect the defined terms: Data Protection Legislation REC Controller REC Data REC Processor | AMENDED |

| Operational process | Version | DESCRIPTION | IMPACT |
|--|---------|--|--------|
| Personal Data Breach reporting process | V1.0 | Wiki article that provides information on how to report a Personal Data Breach or a potential Personal Data Breach which impacts REC Data that relates to any Central Reservation Service. | NEW |

3 IMPACTED REC SYSTEMS

REC PORTAL

There are no changes required to the REC Portal to support the Change Proposal in scope of the August 2022 REC Release.

ENERGY MARKET ARCHITECTURE REPOSITORY (EMAR)

No impacts to the EMAR have been identified for the Change Proposal that is in scope of the August 2022 REC Release.

PERFORMANCE ASSURANCE ANALYTICS

No impacts to the Performance Assurance Framework have been identified for the Change Proposal that is in scope of the August 2022 REC Release.

OTHER

The August 2022 REC Release will be delivered via a big bang approach, with no industry testing required.

4 IMPACTED STAKEHOLDERS

R0046A – GOVERNANCE OF DATA UNDER THE REC (ALTERNATIVE)

| STAKEHOLDER | STAKEHOLDER TYPE | DETAILS |
|------------------|------------------|---|
| Energy Suppliers | REC Party | Energy Suppliers will need to be able to identify their role as a Data Controller and/or Data Processor and be aware of their obligations in the event they should suffer a data breach |
| Gas Transporters | REC Party | Gas Transporters will need to be able to identify their role as a Data Controller and/or |

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| | | Data Processor and be aware of their obligations in the event they should suffer a data breach |
| Distribution Network Operators | REC Party | Distribution Network Operators will need to be able to identify their role as a Data Controller and/or Data Processor and be aware of their obligations in the event they should suffer a data breach |
| Data Communications Company (DCC) | REC Service Provider | The DCC will need to be able to identify their role as a Data Controller and/or Data Processor and be aware of their obligations in the event they should suffer a data breach. Provide the RMP reporting information to the Code Manager as set out in Paragraph 8.1 of the REC Central Switching Service Definition. |
| Meter Equipment Managers | REC Party | Meter equipment Managers will need to be able to identify their role as a Data Controller and/or Data Processor and be aware of their obligations in the event they should suffer a data breach |
| Non-REC Party Service Users | Non-REC Party Service User | Non-REC Party Service Users will need to be aware of the obligations of a Data Controller and a Data Processor to ensure they can remain compliant with their contracts with their Data Controllers |

REC PRE-RELEASE AND RELEASE ENGAGEMENT PLAN

| EVENT / MILESTONE | START DATE | END DATE | COMMUNICATION METHOD |
|--|-------------------|-----------------|---|
| Release Plan | 22/07/2022 | 22/07/2022 | Email from the Code Manager with a link to the REC Portal |
| Pre-Release information | 22/07/2022 | 22/07/2022 | Email from the Code Manager with a link to the EMAR |
| Authority decision on Change Proposal R0046a | 02/08/2022 | 02/08/2022 | Email from the Code Manager |
| Personal Data Breach reporting process published | 03/08/2022 | 03/08/2022 | Email from the Code Manager with a link to the Wiki article |
| Release communication | 03/08/2022 | 03/08/2022 | Email from Code Manager |

UPDATES TO REC SYSTEMS

There are no updates to REC systems required to support the change in scope of this Release.

CHANGES TO REC SERVICE PROVIDER SYSTEMS

There are no updates to REC Service Provider systems to support the change in scope of this Release.

TRAINING AND GUIDANCE

The feedback survey for this release was incorporated into the Consultation for R0046a with the output confirming that no training or guidance is required to support implementation.

Due to the short timescale for implementation and the small change in scope of this release a drop-in session will not form part of the Communication and Engagement Plan.

It has been identified there are no changes required to User Guides or knowledge articles.

5 TEST PLAN

TESTING REQUIREMENTS

As there are no changes to REC systems or REC Service Provider systems in this Release, there are no testing requirements in scope of this Release.

6 TECHNICAL ASSURANCE

SERVICE PROVIDER ASSURANCE REQUIREMENTS

As there are no requirements to update REC systems or REC Service Provider systems in scope of this Release, it has been determined that Technical Assurance is not required.

PARTY ASSURANCE REQUIREMENTS

There are no Party Assurance requirements in scope of this Release.

7 GOVERNANCE AND REPORTING

Due to the short window between publishing the Release Plan and the implementation date, there will be no formal reporting prior to the implementation of the Release.